# Quality & robustness of manufacturing processes

**Peter Hammersberg,** Ph.D. Universitetslektor Material-, Tillverkning- och Kvalitetsteknik | Six Sigma Master Black Belt Senior Lecturer Material-, Manufacturing and Quality Engineeing | Six Sigma Master Black Belt

Institutionen för Industri- och Materialvetenskap | Department of Industrial and Material Science Avdelning Konstruktionsmaterial | Divison of Engineering Material

## Content

- 1. General fundament of continuous improvement in operations
- 2. Process development in context: VOP vs. VOC
- 3. Statistical Process Control, SPC
  - Natural behavior of process: self-study exercise
- 4. Process capability,  $C_p \& C_{pk}$
- 5. Data quality Precision vs. Accuracy
- 6. Constructive alignment with courses ahead

# Necessary cornerstones when building a Continuous Improvement (CI) culture



### "Variation (instability, unwanted changes, ...) - is the main enemy to all mass production!

## A successful reduction of it will invariably simplify processes, reduce losses and lower cost"

Box, Bisgaard 1988





### From prototypes to mass production



## A process, product or operation is doing something for someone (a customer or context)





## That particular someone typically has a requirement of what is delivered (monitored with a KPI – Key Performance Indicator)



### Since everything vary the customer usually accept deliveries within some specification limits Upper Spec. Limit (USL) and Lower Spec. Limit (LSL)



### And a stable predictable process (product or operation) varies between control limits

Upper control limit (UCL) and Lower Control Limit (LCL)

the natural process behavior





A common behavior when quality is bad is:

"- Quality is bad – the supplier need to improve - lets sharpen the tolerances"

### What will happen? UCL **USL** Process, product or operation LSL Even more waste and rework is produced, LCL increasing cost



#### **Control factors**



#### **Control factors**







Process target needs to be adjusted



How should the right price be set?

## Two mistakes of data analysis

- Mistake One: All ups and downs are seen as signals
  - Interpreting the routine variation of noise as if it amounted to a signal of change in the underlying process, thereby sounding a **false alarm**.
    - People stop listening and does nothing
- Mistake Two: Everything is interpret as noise
  - Thinking that a signal of a change in the underlying process is merely the noise of routine variation, thereby missing a signal
    - People avoid this problem by making mistake one and run on everything
- Trick is to <u>strike a balance</u> between the two by filtering out the noise of routine variation in the data only react when deviations are large

## Statistical Process Control

Checking if the process is stable and predictable with control charts

## Statistical Control

- A process that only contains common causes of variation is said to be in statistical control
- A process in statistical control is stable and predictable

As Deming puts it: when processes are in statistical control, quality and quantity are predictable. Costs are predictable; Just in time" begins to take on meaning...

## Normal or not?

Waste

All processes have noise! What is noise and what is



Special cause variation is our business enemy # 1





## Control charts



Dr. Walter Shewhart, who developed control charts in the late 1920's, explained 'control' this way:

"A phenomenon will be said to be controlled when, through the use of past experience, we can predict, at least within limits, how the phenomenon may be expected to vary in the future."

Control charts are simple, robust tools for understanding process variability.



#### Variation



However: the normal distribution work well when dealing with small deviations from a target and is base for the common definition of: PROCESS CAPABILITY



When the contains nothing but Common Causes – the process operates at its economical equilibrium.

It does what it is designed to do at its full potential!



When the level of Common Cause of variation not is acceptable in a stable process, there is no quick fix. It must be rebuilt



Goal with Statistical Process Control

- Control the process so it remains stable (so that no new special causes of variation are introduced)
- To avoid over control of processes
- Find and eliminate special causes of variation

## Different causes to variation

Random causes of variation



**Special causes of variation** 



## Two types of data - two different techniques

### **Observational studies**

- One condition
- Sequential analysis of streaming data
  - Watching for unplanned changes
- Trivial risk of false alarm
  - +/- 3-sigma ~ 1/400
- D/M/C

### **Experimental studies**

- Comparing several conditions
- Finite amount of data
- Looking for differences
- Accept non-trivial risk of false alarm
  - alpha risk ~5%
- Conf. int., test of hypothesis, regression tech designed to get the most info out of limited data
- A/I







Experimental studies can only test a few factors.

Observational studies are needed to:

- Continuously learn from process data
- Warn for changes
- Identify causes for change
- Operate predictably on target

## Individual Observation Moving Range (XmR or IR or ImR): the basic Process Behavior Chart

"The bias correction factors together with the two-point moving average are general and defines the **3-sigma limits** for virtually any type of data. If it seems like a "one size fits all" approach, it is" Donald J. Wheeler







#### **Output x Limit Summaries**

Points plotted	LCL	Avg	UCL	Limits Sigma	Sample Size
Individual	19,80877	39,51429	59,2198	Moving Range	1
Moving Range	0	7,411765	24,21077	Moving Range	1

## Western Electric Rules







Any single data point falls outside the 3 $\sigma$  limit from the centerline (i.e., any point that falls outside Zone A, beyond either the upper or lower control limit)

Two out of three consecutive points fall beyond the 2σ limit (in zone A or beyond), on the same side of the centerline

Four out of five consecutive points fall beyond the 1σ limit (in zone B or beyond), on the same side of the centerline

Nine consecutive points fall on the same side of the centerline (in zone C or beyond)

Rule 1 and 4 cover most situations: R1 – test special causes R4 – test trend shift 'KISS' – keep it simple, stupid

#### Rule 2: two out of three consecutive points fall Zone A or beyond



Rule 4: Nine consecutive points on the same side of center line (mean)




#### **Output x Limit Summaries**

Points plotted	LCL	Avg	UCL	Limits Sigma	Sample Size
Individual	19,80877	39,51429	59,2198	Moving Range	1
Moving Range	0	7,411765	24,21077	Moving Range	1



#### **Output x Limit Summaries**

Points plotted	Phase	LCL	Avg	UCL	Limits Sigma	Sample Size
Individual	1	22,27083	44,1	65,92917	Moving Range	1
Individual	2	15,35895	33,4	51,44105	Moving Range	1
Moving Range	1	0	8,210526	26,81995	Moving Range	1
Moving Range	2	0	6,785714	22,16575	Moving Range	1



#### **Output x Limit Summaries**

Points plotted	Phase	LCL	Avg	UCL	Limits Sigma	Sample Size
Individual	1	22,27083	44,1	65,92917	Moving Range	1
Individual	2	15,35895	33,4	51,44105	Moving Range	1
Moving Range	1	0	8,210526	26,81995	Moving Range	1
Moving Range	2	0	6,785714	22,16575	Moving Range	1

# When does a XmR-control chart detect a process shift

Average run length (ARL)

# How many data points extra does it on average take to detect a process shift of:



Shift, in multiples of $\sigma$	XmR Average Run Length (ARL)		
0	370		
0.25	281		
0.5	155		
0.75	81.83		
1	43.96		
1.5	15.97		
2	6.3		
2.5	3.24		
3	2		
4	1.19		
5	1.02		

# Why not just use the standard deviation statistics?

- While the global standard deviation statistics provides a descriptive summary for a <u>homogeneous data</u> set, it is
- incorrect to use it when analyzing the data for evidence of a <u>possible</u> <u>lack of homogeneity</u>
- The problem is how it is calculated:
  - The global standard deviation use all data that will blow up the limits
  - The two-point Moving Range use local variation that bypass the problem

### 3-sigma limits are more sensitive



When the data is homogeneous the difference will shrink – but totally

3-sigma limits will filter out virtually all of the routine variation regardless of the shape of histogram

- 1. Points outside the three-sigma limit is a <u>potential</u> signal
- 2. Symmetric three-sigma limits work with skewed data – transformations not needed
- 3. Uncertainty in the determination of the limits have marginal effect due to the tails
- Whenever a boundary value falls within the computed limits, the <u>boundary takes precedence</u> over the computed limit, and we end up with a one-sided chart.



Empirical rule: its true for data not perfectly normal also

Number of Standard Deviations	Theoretical Normal	Empirical – Almost any distribution
+/- 1σ	68%	60-75%
<del>+</del> /- 2ơ	95%	<b>90-9</b> 8%
+/- 3 <b>O</b>	99.7%	99-100%

# Is the quality of the data good enough to take a decision? *How do we know?*

Measurement System Analysis - MSA

(also referred to as **Gage r&R**: repeatability and reproducability)

# The customer requirement (Y)

are characterized with some measures (Qn or Qv). More or less directly linked to it.



# The customer requirement (Y)

are characterized with some measures (Qn or Qv). More or less directly linked to it.



Why measure?

To take decisions:

- On single unit
  - go / no-go (categorical data)
- On process behavior
  - Control charts (trends, variation)
- Two different requirements
  - Which one require the most of the MS?

Important question for all measures:

- "Are the measurement noise small enough to let us see the difference we want to see"
  - Measurement Precision (which not is the same as calibration...)

#### Zoom in on the decision to be made...



Imprecision needs to be considered...



#### Imprecision needs to be considered...



...need to be small enough for us to see the contrast (variation) we need to see.



#### So what...

- How do we know if decisions can be made?
  - Is the precision of the MS high enough to be able to distinguish the contrast of interest?
  - Needs to be figured out for every measure in every process or project!
  - Risk is that decisions are based on noise...
- And calibration is only a small part of the answer...

### MSA answers

- Can the intended measurement system be used as a base for the decisions that needs to be taken about:
  - the product or the process or the operation....
- In other words:
  - Can the measurement system see the variation we are looking for?
- Measurement system =

equipment (gauge) + operators (human factor) + procedure



#### Measurement issues

**Three** fundamental issues need to be addressed in evaluating a measurement system.

- Does the measurement system have adequate **discrimination**?
- Is the measurement system statistically **stable over time**?
- Are the **statistical properties** consistent over the expected range and acceptable for process control process decisions?

Standards say...

- ... to make go/no-go decisions,
  - that is converting numbers to two discrete levels.
- Measurement imprecision can be maximum 30% of spec width



- The numbers are transformed to **attribute data (y/n)**:
  - 10,2 ----> in
  - 13,4 ----> out
- The value of the numbers are limited



Question is:

Can the measurement system be used for the **decisions** that need to be taken?



In practice these means...

≥7 unique **discrimination levels** between the specification limits to be able to characterize the performance of the process !

**Rule of thumb:** Precision of MS needs to be 10x of the precision of the decision, is a little to strict but much easier to interpret. *Example: if you want to be sure to cut the length of something in the right cm you need to have mm on your ruler* 

# Measurement System Analysis



- Variation is inherent in all processes
  - Measurement processes are no different
- If a part characteristic is measured a number of times, different results will be obtained
- Measurement system variation needs to be recognised, accepted and managed
- Calibration only adjust MS mean level - accuracy

### Accuracy and precision



# So when we have a stable predictable process: Is it good enough? How do we know?

What is Process Capability?

#### **VOP** *The natural process limits*





### Interaction

Process capability



No logical connection between them



#### Capable processes



#### Non-capable processes



 $C_p/C_{pk}$  vs. 'sigmas'



# Process capability

Improvement strategies

**Decrease variation (standard deviation)** 

Process towards centre (mean)



# Capability for process, Cp

$$C_p = \frac{Specification \ width \ VOC}{Process \ variation \ VOP} = \frac{USL - LSL}{6\sigma}$$



- $\mu$ ,  $\sigma$  = mean, standard deviation
- C<sub>p</sub> will only consider process variation:
- Benchmarks:
  - Limit Cp = 1.00
  - OK Cp = 1.33
  - Good Cp = 1.67
- C<sub>p</sub> compares process variation to tolerance width, that is, does the process <u>have the</u> <u>potential</u> to be capable

# Centered capability measure, C<sub>pk</sub>

$$C_{pk} = minimum\left(C_{pU}, C_{pL}\right) = \min\left(\left[\frac{USL - \mu}{3\sigma}\right], \left[\frac{\mu - LSL}{3\sigma}\right]\right)$$



- $\mu$ ,  $\sigma$  = mean, standard deviation
- C<sub>pk</sub> consider process both variations and location
- Benchmarks:
  - Limits  $C_{pk} = 1.00$  3 sigma process
  - Standard present processes  $C_{pk} = 1.33$  4 sigma process
  - Demand new processes  $C_{pk} = 1.67$  5 sigma process
- Typically: companies require at least 1.33 of their suppliers, but it varies from case to case and is directly connected to the price

#### Capability for process, C<sub>p</sub> & C<sub>pk</sub>

- Cp will only consider process variation:
- Benchmarks:
  - Limit
    1.00
  - OK Cp = 1.33
  - Good 1.67

- C<sub>pk</sub> consider process both variations and centre
- Benchmarks:
  - Limits C<sub>pk</sub> = 1.00
  - Standard present processes 1.33
    - Demand new processes  $C_{pk} = 1.67$

C<sub>pk</sub> =

$$C_{p} = \frac{Tolerance}{Process \ variation} = \frac{USL - LSL}{6\sigma} \qquad \qquad C_{pk} = min(C_{pU}, C_{pL}) = min\left(\left[\frac{USL - \mu}{3\sigma}\right], \left[\frac{\mu - LSL}{3\sigma}\right]\right)$$

Cp =

Cp =
Interplay between C<sub>p</sub> and C<sub>pk</sub>





# Stability is determinating

- **Stability** is required for process capability estimations:
  - Distribution of future products becomes predictable.
  - Almost all products (99,73%) will be within +/- 3 sigma for the process
    - i.e. 399 out of 400 will naturally fall between CL
    - But still 1 of 400 will be odd because of natural reasons (false alarm?)
    - How does it relate to your case?
  - Capability measures become relevant.
- Without stability there will be no capability.
  - Unpredictable process.
  - Capability measures meaningless.

## JMP Pro



- State-of-the-art program for data visualization, analysis and predictive modelling from experiments: JMP Pro from SAS institute:
  - <u>https://www.jmp.com/en\_us/home.html</u>
- Chalmers site license
  - You can down-load it from the student portal or run it in M-computer labs
- To get going with the statistical software package watch the 'JMP Basics for Professors and Students'. It is located under the headline 'JMP Basics for Professors and Students' here:
  - <u>https://www.jmp.com/en\_us/academic/academic-webinars.html#basics</u>
- Understanding how to evaluate and monitor a process using JMP Pro:
  - <u>https://community.jmp.com/t5/Mastering-JMP-Videos-and-Files/Evaluating-amp-Monitoring-Your-Process-Using-MSA-and-SPC/ta-p/277447</u>
- Basic on QE, Reliability and Six Sigma
  - <u>https://www.jmp.com/en\_us/applications/quality-reliability-six-sigma.html</u>

#### JMP - one page guides

Pages 26, 68 and 71

Process capability overview https://www.jmp.com/en\_us/applications/q uality-reliability-six-sigma.html

General terms and definitions will be parts of the examination. There are much material on internet under the topic of <u>quality and six</u> <u>sigma methodologies</u>. **USE THEM!** For example some general papers:

#### Process Capability: How to understand it http://www.syque.com/quality\_tools/toolbo ok/Procap/how.htm

#### **Capability Analysis**

This page provides information on performing a capability analysis using the Distribution and Control Chart platforms. Capability analysis can also be performed in the **Capability** platform under **Analyze > Quality and Process**.

Capability Analysis - Distribution Platform

- 1. From an open JMP<sup>\*</sup> data table, select Analyze > Distribution.
- Select one or more continuous variables from Select Columns (continuous variables have blue triangles), click Y, Columns, and click OK to generate a histogram and summary statistics.
- 3. From the red triangle for the variable, select Capability Analysis.
- 4. Enter the spec limits and target.

Specify the distribution (if the underlying distribution is not normal), and select the estimate(s) to use for sigma. Note: If **moving range** and/or **fixed subgroup size** are selected, data must be sorted in time order.

5. Click **OK** to perform a capability analysis for each estimate of sigma selected.

Capability Analysis – Capability Platform

- 1. Generate a control chart using Analyze > Quality and Process > Capability
- 2. Enter the spec limits and target, and click OK.
  - By default, the following will be added to the output:
  - The observed (actual) capability.
  - Capability analyses based on the overall standard deviation (Long Term Sigma)

Lower Spec Limit	15			
Target	20			
Upper Spec Limit	25			
Normal				
🗹 Long Term Sigma				
III Spedfied Sigma				
Moving Range, Range Span:				
Elbort Torra Elaresa C	Stourn by Fired Subaro	10 570	5	

Example: Coating.jmp (Help > Sample Data > Quality Control)



<ul> <li>Capability</li> </ul>							
Spec Lin	nits						
Column	LSL	Target	USL				
Weight	15.00000	20.00000	25.00000				
Individual	Detaile	d Reports					
- Weigh	t						
Specificati	on	Value Port	ion	% Actual			
Lower Spec Limit		15 Below LSL		0.0000			
Spec Target 20 Above USL		0.0000					
Upper Spec	: Limit	25 Tota	Outside	0.0000			
4 Long 1	ferm Sig	ma					
			$\wedge$	Capabilit	y Index	Lower CI	Upper Cl
			11	CP	1.130	0.850	1.409
			1.1.	CPK	1,040	0.757	1.324
		12	Mgan	CPM	1.091	0.838	1.376
				CPL	1.220	0.895	1.541
		LSL	larget USL	CPU	1.040	0.755	1.321
0		10	20				Sigma
			-	Portion	Per	cent	PPM Quality
Sigma = ;	.47506			Below LS	L 0.0	127 128	7221 5.159
				Above U:	5L 0.0	902 902	2931 4.621
				Total days	allala da a	636 1634	ALES ALESS

Notes: Long term (P<sub>pk</sub>) capability labeling can be turned on using the JMP Preferences (under **Preferences** > **Platforms** > **Distribution**). For information on creating control charts, see the guides under **Quality, Reliability** and **DOE** at <u>imp.com/learn</u>. For additional details, search "capability" in the JMP Help or see the book **Quality** and **Process Methods** (under **Help** > **Books**).

# Robustness and non-normal data

# Normal distribution - what happens with the mean when variation increase?



# Flaw of averages

- Business and operational data often single sided.
  - Number of products or hours are only positive *Not Normal distributed!*
  - What happens when variation increase if there is only one side of the data?
    - ➤ mean level will rise!
    - ➤ and mean level is connected to business result
  - Basic quality improvement philosophy: mitigate influence of variation and get sustainable improvement of mean level

# Why is variation important

 $\overline{x} = 10,309; s = 1,501$ 

Risk to lose info when condensing into just a number



All have the same mean and standard deviation

### Longer or shorter pendulum?

Improve output variation without increasing cost



#### But, we are no longer on target...? engineering First minimize influence of variation Improve output variation without increasing cost 2. Then set performance on target <mark>[</mark>S] [S] Period Period Parameters that don't **Parameters** influencing variation influencing variation Parameter X [-] Pendulum length x [m] Other parameters in the system that not influence **Current performance** of cutting machine variation can be used to get back on target

#### Robust

## Improving archery

What is the natural behavior when trying to shoot better?



Improving archery



The natural behavior when trying to improve your shooting is the lower route!

First – get precision under control! Shifting to target easier.



Common, but bad engineering

## Comparing two suppliers



# Comparing two suppliers



# Control Limits (CL) ≠ Specification Limits (SL)



And nothing is nominal – get used to it

## Review questions

What are the purpose with:

- VOP ≠ VOC
- Common vs. special causes of variation
- Process stability
- Observational vs. experimental studies
- SPC, control charts (ImR)
- Control limits based on 3-sigma (mR) vs. standard deviation
  - False alarms vs. missed signal
- Process capability
- Robustness and non-normal data