Databases

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Lecture 13

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(much of the material is based on material from both Thomas Hallgren and Jonas Duregård)

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Recall Last Lecture

Transactions:

- BEGIN/COMMIT or ROLLBACK;
- ACID properties: atomicity, consistency, isolation and durability;
- Interference problems: dirty read, non-repeatable read, phantom;
- Isolation levels: serializable, repeatable read, read committed, read uncommitted;
- Authorisation and privileges:
 - Privileges can be granted and revoked to users/roles;
 - Most common privileges: SELECT, INSERT, UPDATE and DELETE;
- Indexes:
 - DBMS defines indexes on primary keys and unique attributes;
 - Users can define (and drop) other indexes: advantages and disadvantages on this!

- Relational algebra;
- Correspondence between **SQL** and relational algebra;
- A glance into query optimisation.

Recall (Lecture 6): Relations, Relation Schemas and Tables

• A *relation* R is a subset of the cartesian product of two or more sets T_1, T_2, \ldots, T_n :

 $R \subseteq T_1 \times T_2 \times \cdots \times T_n$

- A *relation schema* $R(a_1, ..., a_n)$ can be augmented with the domain/type of each attribute $R(a_1 : T_1, ..., a_n : T_n)$;
- The *relation signature* of the relation R is then the corresponding cartesian product $T_1 \times \cdots \times T_n$;
- Given a relation schema $R(a_1, \ldots, a_n)$ with signature $T_1 \times \cdots \times T_n$:
 - A *table* for the schema $R(a_1, ..., a_n)$ is a subset of the cartesian product $T_1 \times \cdots \times T_n$;
 - A *row* in the table is an element of the cartesian product $t \in T_1 \times \cdots \times T_n$.

Algebra

Definition: (Wikipedia) *Algebra* is the study of mathematical symbols and the rules for manipulating these symbols.

Broad field of mathematics, going from elementary equation solving (elementary algebra, linear algebra) to the study of abstractions such as groups, rings, lattices... (abstract algebra).

In an algebra we have set of values, operations on those values, and formulas built from the values and the operators.

Example: Natural numbers with addition and multiplication form an algebra.

Example: Boolean algebra consists of the two Boolean values and the operators $\lor, \land, ...$

Note: Observe that both the Natural numbers and Booleans are *closed* under those operations (the result of the operation is also in the set).

Definition: Relational algebra is a theory that uses algebraic structures for modeling data and defining queries on the data.

A concise mathematical notation in which we can express relations and queries.

Main advantages:

Reasoning: We can use mathematics to prove that our queries do what we intend them to do. Simplification: Using known algebraic laws one can simplify complicated relational algebra expressions (queries). Optimisation: Simplification can make queries faster. A DBMS processes a query in several steps:

- Lexing: The input string is converted into a sequence of tokens. Parsing: The sequence of tokens is converted into a(n SQL) syntax tree.
- Type checking: The syntax tree is checked semantically.
- Logical query plan generation: The syntax tree is converted into a relational algebra expression.
- Optimisation: The relational algebra expression is converted into a more efficient relational algebra expression.

Which of two different queries solving the same problem is more efficient (partly) depends on what the DBMS does here.

Physical query plan generation: The more efficient expression is converted into a sequence of algorithm calls.

Execution: The physical query plan is executed and produces a result.

Back to Relational Algebra

What are the values and operations in this algebra?

The values are *relations* (the tables in the database).

This means the relation schema (name of the relation and its attributes) and the (labelled) tuples in the relation (rows in the table).

The operations are the different things we can do on a relation.

Example: Select, group by, order, ...

Each operation returns a new *relation*.

Note: When working with relational algebra, we focus on the schema, not the actual tuples.

Do we Work with Sets, Bags, Lists or Arrays?

	No order	Ordered
No duplicates	Sets	Ordered sets
Duplicates	Multisets/bags	Lists or arrays

In sets/relations: Order and duplication is irrelevant.

In tables: Order and duplication make a difference:

- SQL has DISTINCT and ORDER BY;
- Set operations UNION, INTERSECT and EXCEPT discard duplicates ...
- ... but UNION ALL, INTERSECT ALL and EXCEPT ALL preserve duplicates;
- Primary keys and unique constrains prevent duplicates ...
- ... but the result of a query can still contain duplicates.

Concept	Relational algebra	Set theory	SQL
domain of			
attribute values		Т	type
cartesian			
products of sets	$T_1 \times \times T_n$	$\{\langle t_1,,t_n\rangle \mid t_i \in T_i\}$	relation schema
relation	R	$R \subseteq T_1 \times \times T_n$	table
tuple	$\{a = t_1,, k = t_n\}$	$\langle t_1,, t_n \rangle$	row
label	а		attribute name
component	t.a	$\pi_{i(a)}t, t_{i(a)}$	value of attribute
		$\{t_i \mid \langle, t_i, \rangle \in R\}$	column

Relational Schema in this Lecture

• Countries and their currency values:

Currencies (<u>code</u>, name, value)

Countries (<u>name</u>, abbr , capital, area, population, continent, currency) currency \rightarrow Currencies.code

• Countries with their capitals and currencies:

Capitals (country, capital) country \rightarrow Countries.name

 $\begin{array}{l} {\sf CurrencyCodes} \ (\underline{{\sf country}}, \ {\sf currency}) \\ {\sf country} \rightarrow \ {\sf Countries.name} \end{array}$

• Students and their grades:

Students (idnr, name)

```
\begin{array}{l} \mbox{Grades (student, course, grade)} \\ \mbox{student} \rightarrow \mbox{Students.idnr} \\ \mbox{course} \rightarrow ... \end{array}
```

From **SQL** to Relational Algebra: Basic Queries

Table names can be used directly.

SQL

SELECT projection FROM Table WHERE condition;



Relational Algebra

 $\pi_{\text{projection}}(\sigma_{\text{condition}}(\text{Table}))$

 π for projections of components

 σ for selection of tuples/elements

Note: Here condition is a Boolean-expression, not an **SQL** sub-query/relational algebra expression!

Examples: Basic Queries in Relational Algebra

SQL



Selecting rows:

SELECT * FROM Countries WHERE abbr = 'UY'; **Relational Algebra**



$$\sigma_{abbr='UY'}(Countries)$$

Projection:

SELECT capital FROM Countries WHERE abbr = 'UY';

$$\pi_{\text{capital}} \\ (\sigma_{\text{abbr}='\mathbf{UY}'}(\text{Countries})$$

Expressions:

```
SELECT capital, population/area
FROM Countries
WHERE abbr = 'UY';
```

 $\pi_{\text{capital, population/area}} (\sigma_{\text{abbr='UY'}}(\text{Countries}))$

Note: From now on, we might omit some (,) when we break into different lines.

From SQL to Relational Algebra: Renaming

SQL

Renaming columns:

SELECT name AS country, population/area AS density FROM Countries WHERE continent = 'EU';

Renaming tables:

SELECT A.abbr FROM Countries AS A, WHERE A.name = 'Sweden';



 ρ can be also be used to give a new schema (renaming tables and attributes)

Relational Algebra

AS becomes \rightarrow :



 ρ for renaming tables:

 $\pi_{\texttt{A.abbr}}(\sigma_{\texttt{A.name}='\texttt{Sweden}'}(\rho_{\texttt{A}} \texttt{ Countries}))$



From SQL to Relational Algebra: Sorting

SQL

Relational Algebra

Sorting on a selected attribute:

SELECT name, capital, FROM Countries ORDER BY name;

Descending:

SELECT name, capital, FROM Countries ORDER BY name DESC;

Sorting on a non-selected attribute:

SELECT name, capital, FROM Countries ORDER BY area;



au for sorting:

 $\pi_{\texttt{name, capital}} \left(au_{\texttt{name}} \; \texttt{Countries} \right)$

 $au_{\text{name}} \; (\pi_{\text{name, capital}} \; \text{Countries})$

 $\pi_{\texttt{name, capital}}$ ($au_{\texttt{-name}}$ Countries) $au_{-\text{name}}$ ($\pi_{\text{name, capital}}$ Countries)

One cannot sort by an attribute that has already been discarded by a projection:

 $\pi_{\text{name, capital}} \left(\tau_{\text{area}} \text{ Countries} \right)$

From SQL to Relational Algebra: Duplicates

Recall: set vs. bag semantics!

SQL

SELECT currency, FROM Countries;



Relational Algebra

Might contain duplicates:

 π_{currency} Countries

SELECT DISTINCT currency, FROM Countries;



 δ for removing duplicates:

 $\delta(\pi_{\text{currency}} \text{ Countries})$

From **SQL** to Relational Algebra: Grouping and Aggregations

SQL

SELECT currency, COUNT(name), FROM Countries GROUP BY currency;

Relational Algebra



Aggregations are done in γ ! Rename to use the result elsewhere.

SELECT currency, SUM(population), FROM Countries GROUP BY currency HAVING COUNT(name) > 1;



Note: Both WHERE cond and HAVING cond become σ_{cond} .

From **SQL** to Relational Algebra: Cartesian Products

SQL

Full cartesian product:

SELECT Countries.name, Currencies.name. FROM Countries, Currencies;



Relational Algebra

Not often what we need...



 $\pi_{\text{Countries,name}}$. Currencies.name Countries × Currencies

Theta join:

SELECT Countries.name, Currencies.name. **FROM** Countries, Currencies WHERE currency = code;



 $\pi_{\text{Countries.name}}, \text{Currencies.name}$

 $\sigma_{\text{currency}=\text{code}}$

Countries × Currencies

From SQL to Relational Algebra: Natural and Inner Joins

SQL

Relational Algebra

Natural join:

SELECT capital, currency, FROM Capitals NATURAL JOIN CurrencyCodes;



 $\begin{array}{c} \pi_{\texttt{capital, currency}} \\ \texttt{Capitals} \bowtie \texttt{CurrencyCodes} \end{array}$

Inner join:

SELECT Countries.name, Currencies.name, FROM Countries JOIN Currencies ON currency = code;



 $\pi_{\text{Countries.name}}, \text{Currencies.name}$

Countries $\bowtie_{currency=code}$ Currencies

From SQL to Relational Algebra: Outer Joins

SQL

Relational Algebra



What about Correlated Queries?

Consider the query:

SELECT name FROM Students AS S WHERE 4 < (SELECT AVG(grade) FROM Grades WHERE student = S.idnr)

The correlation needs to be replaced with a join (or cartesian product and corresponding select).

 $\pi_{\texttt{name}}(\sigma_{\texttt{4}<\texttt{average}}(\gamma_{\texttt{student},\texttt{name},\texttt{AVG}(\texttt{grade})\rightarrow\texttt{average}}(\texttt{Grades}\bowtie_{\texttt{idnr}=\texttt{student}}\texttt{Students})))$

From the join, group by students and name, and compute the average of the grades of each student, select those with an average of at least 4, finally project the name.

Back to Grouping in Relational Algebra

Are these the same query?

Groups by student id *and* name; name available for projection





Groups *only* by student; needs a join to project name



$\pi_{\texttt{name},\texttt{passed}}$
$(\gamma_{\texttt{student,COUNT}(*) \rightarrow \texttt{passed}})$ $(\sigma_{\texttt{grade} \ge 3 \land \texttt{idnr=student}}(\texttt{Students} \times \texttt{Grades}))$ $\bowtie_{\texttt{student=idnr}} \texttt{Students}}$
, student=1dhi soccord)

Note: Since we have the FD student \rightarrow name, these queries are the same. Otherwise they might not be since the result of grouping by (student, name) might be different than grouping by just student!

What about NOT IN or NOT EXISTS?

One needs to try to understand the query in terms of sets, and use set operations instead.

Example: Consider the query that selects students that have no grades:

SELECT idnr, name FROM Students WHERE idnr NOT IN (SELECT student FROM Grades)

We can use set difference in relational algebra to obtain this result:

 $\pi_{\texttt{idnr,name}}(\texttt{Students} \bowtie_{\texttt{idnr=st}} (\rho_{\texttt{NoGrades} \langle \texttt{st} \rangle}(\pi_{\texttt{idnr}} \texttt{Students} - \pi_{\texttt{student}} \texttt{Grades})))$

The result of $(\pi_{idnr} Students - \pi_{student} Grades)$ is a relation consisting of "1-tuples". We give a new name to the information (table and attribute).

We join to retrieve the rest of the information of the students to project their name.

Concept	Relational	Set theory	SQL
	algebra		
cartesian prod-	$S \times R$	$\{\langle x,, u, \rangle$	FROM S, R
ucts of relations		$\langle x,\rangle \in S, \langle u,\rangle \in R\}$	
union with	$S \cup R$	$\{t \mid t \in S \text{ or } t \in R\}$	S UNION ALL R
duplicates		OBS: bags!	
union	$\delta(S \cup R)$	$\{t \mid t \in S \text{ or } t \in R\}$	S UNION R
intersection	$S \cap R$	$\{t \mid t \in S \text{ and } t \in R\}$	S INTERSECT ALL R
with duplicates		OBS: bags!	
intersection	$\delta(S \cap R)$	$\{t \mid t \in S \text{ and } t \in R\}$	S INTERSECT R
difference with	S-R	$\{t \mid t \in S \text{ and } t \notin R\}$	S EXCEPT ALL R
duplicates		OBS: bags!	
difference	$\delta(S-R)$	$\{t \mid t \in S \text{ and } t \notin R\}$	S EXCEPT R

Note: Recall "schemas" need to be compatible for some of the set operations to work!

Relation Algebra: Summary of Correspondences

Concept	Rel algebra	Set theory	SQL
projection	$\pi_{a,b,\ldots,k} R$	(<i>t.a</i> , <i>t.b</i> ,, <i>t.k</i>)	SELECT a, b,, k
		$t\in R angle$	
selection	σcR	$\{t \in R \mid C\}$	WHERE C
	$\sigma_{\rm C} R$		HAVING C
theta join	$S \bowtie_C R = \sigma_C(S \times R)$	$\{t \in S \times R \mid C\}$	S INNER JOIN R ON C
outer join	$S \bowtie_{C}^{O} R$		S FULL OUTER JOIN R
			ON C
	$S \bowtie_{C}^{OL} R$		LEFT OUTER JOIN
	$S \bowtie_{C}^{OR} R$		RIGHT OUTER JOIN
natural join	$S \bowtie R$		S NATURAL JOIN R
renaming	a ightarrow b	—	AS
	$\rho_{A}R$		
new	$\rho_{\mathtt{A}<\mathtt{a},\ldots,\mathtt{k}>}R$	—	—
schema			
removing	δR	—	DISTINCT
duplicates			
sorting	$ au_a R$		ORDER BY a
grouping	$\gamma_a R$		GROUP BY a

Example: From Problem to Relational Algebra

Select the name of all students that have passed at least 2 courses.

 $\begin{array}{l} \mbox{Students} (\underline{idnr}, name) \\ \mbox{Grades} (\underline{student}, \underline{course}, grade) \\ \mbox{student} \rightarrow \mbox{Students.idnr} \\ \mbox{course} \rightarrow ... \end{array}$

• Group first, join later: select passed courses in Grades, group by students and count passed course per student, now do the join, select entries where at least 2 courses are passed and project student names.

```
\pi_{\texttt{name}}(\sigma_{\texttt{passed} \ge 2 \land \texttt{idnr} = \texttt{student}}(\texttt{Students} \times \gamma_{\texttt{student},\texttt{COUNT}(*) \rightarrow \texttt{passed}}(\sigma_{\texttt{grade} \ge 3} \texttt{Grades})))
```

• Join first, group later: from the join, select passed courses, group by students and names, and count passed courses per student, select entries where at least 2 courses are passed and project student names.

$$\pi_{\texttt{name}}(\sigma_{\texttt{passed} \ge 2}(\gamma_{\texttt{student,name,COUNT}(*) \rightarrow \texttt{passed}}(\sigma_{\texttt{grade} \ge 3 \land \texttt{idnr}=\texttt{student}}(\texttt{Students} \times \texttt{Grades}))))$$

Example: From SQL to Relational Algebra

A query with almost everything:

```
SELECT a1, MAX(a2) AS max
FROM T1, T2
WHERE a3 = 5
GROUP BY a1, a3
HAVING COUNT(*) > 10
ORDER BY a1 DESC;
```

A relational algebra expression for it:

 $\tau_{\mathtt{a1},\mathtt{max}}(\sigma_{\mathtt{cnt}>10}(\gamma_{\mathtt{a1},\mathtt{a3},\mathtt{MAX}(\mathtt{a2})\to\mathtt{max},\mathtt{COUNT}(*)\to\mathtt{cnt}}(\sigma_{\mathtt{a3}=5}(\mathtt{T1}\times\mathtt{T2}))))))$

From the join, select entries where a3 = 5. Group by a1, a3 and compute number of elements and MAX(a2) per group. Select entries where the count is at least 10. Project a1 and MAX(a2) for those entries. Sort the result descending in a1.

Sanity Check (1)!

Given this schema, is the relational algebra query below correct? $\begin{array}{l} \mbox{Students (idnr, name)} \\ \mbox{Grades (student, course, grade)} \\ \mbox{student} \rightarrow \mbox{Students.idnr} \\ \mbox{course} \rightarrow ... \end{array}$

 $\pi_{\texttt{idnr}}(\sigma_{\texttt{passed} \geqslant 2 \land \texttt{idnr=student}}(\texttt{Students} \times \gamma_{\texttt{student},\texttt{COUNT}(*) \rightarrow \texttt{passed}}(\sigma_{\texttt{grade} \geqslant 3} \texttt{Grades})))$

Let us *sanity check* the expression by computing the schema bit by bit!

- $\sigma_{\text{grade} \ge 3}$ Grades : (student, course, grade)
- $\gamma_{\texttt{student,COUNT}(*) \rightarrow \texttt{passed}}(\sigma_{\texttt{grade} \geq 3} \text{ Grades}) : (\texttt{student, passed})$
- $\mathsf{Students} \times \gamma_{\mathtt{student,COUNT}(*) \to \mathtt{passed}}(\sigma_{\mathtt{grade} \geqslant 3} \, \mathtt{Grades}) : (\mathtt{idnr}, \mathtt{name}, \mathtt{student}, \mathtt{passed})$
- $\sigma_{passed \ge 2 \land idnr=student}(Students \times \gamma_{student,COUNT(*) \rightarrow passed}(\sigma_{grade \ge 3} Grades)):$ (idnr, name, student, passed)

• $\pi_{idnr}(\sigma_{passed \ge 2 \land idnr=student}(Students \times \gamma_{student,COUNT(*) \rightarrow passed}(\sigma_{grade \ge 3} Grades))):$ (idnr)

Sanity Check (2)!

Given this schema, is the relational algebra query below correct? $\begin{array}{l} \mbox{Students (idnr, name)} \\ \mbox{Grades (student, course, grade)} \\ \mbox{student} \rightarrow \mbox{Students.idnr} \\ \mbox{course} \rightarrow ... \end{array}$

 $\pi_{\texttt{idnr}}(\sigma_{\texttt{cnt} \geqslant 2 \land \texttt{idnr} = \texttt{student} \land \texttt{grade} \geqslant 3}(\texttt{Students} \times \gamma_{\texttt{student},\texttt{COUNT}(*) \rightarrow \texttt{cnt}} \texttt{Grades}))$

Let us *sanity check* the expression by computing the schema bit by bit!

- $\gamma_{\text{student,COUNT}(*) \rightarrow \text{cnt}}$ Grades : (student, cnt)
- Students $\times \gamma_{\texttt{student,COUNT}(*) \rightarrow \texttt{cnt}}$ Grades : (idnr, name, student, cnt)
- $\sigma_{\text{cnt} \ge 2 \land \text{idnr} = \text{student} \land \text{grade} \ge 3}$ (Students $\times \gamma_{\text{student}, \text{COUNT}(*) \rightarrow \text{cnt}}$ Grades): ERROR! There is no grade in the schema of the expression to be used by the σ operator in order to evaluate the condition!

Note: Make sure your expression is correct by performing a sanity check on it!

Some Algebraic Laws

These (and other) algebraic laws can be used for guery simplification and/or optimisation.

Some laws generate a potentially infinite number of equivalent expressions for a query. Query optimization tries to find the best of those.

Some laws work with sets but not with bags!

Set-theoretic laws: $\begin{array}{c}
R \bowtie S = S \bowtie R \\
R \bowtie (S \bowtie T) = (R \bowtie S) \bowtie T
\end{array}$

If applicable, associativity, commutativity, distributivity, idempotence of unions, intersections, products and joins.

Repeated projection: $\pi_{a_1,...,a_n}(\pi_{b_1,...,b_m} R) = \pi_{a_1,...,a_n} R$

 $b_1, ..., b_m$ should be a plain projection, will not work if there is a rename that it is later projected.

 $a_1, ..., a_n$ should be a subset of $b_1, ..., b_m$ for the expression to be correct.

Some Algebraic Laws (Cont.)

Some laws can dramatically reduce the number of rows in the results!

$$\sigma_{C_1}(\sigma_{C_2} R) = \sigma_{C_1 \wedge C_2} R$$

Repeated selection:

$$(\sigma_{C_2} R) = \sigma_{C_1 \wedge C_2} R$$

Pushing duplicate elimination inside:

$$\delta(\sigma_C R) = \sigma_C(\delta R)$$

$$\delta(R \times S) = \delta(R) \times \delta(S)$$

Pushing selection inside cartesian products:

If C only uses $\sigma_{C} \left(R_{1} \times R_{2} \right) = \left(\sigma_{C} R_{1} \right) \times R_{2}$ attributes in R_1 : If C_1 only in R_1 and C_2 $\sigma_{C_1 \wedge C_2} \left(R_1 \times R_2 \right) = \left(\sigma_{C_1} R_1 \right) \times \left(\sigma_{C_2} R_2 \right)$ only in R_2 :

Note: See section 2 in chapter 16 of the book for more algebraic laws for improving queries!

Presentation

To make large relation algebra expressions more readable:

• Write them over several lines and use indentation to show the structure:

 $\pi_{A.name}$ $(\sigma_{\text{A.name}=\text{B.capital}})$ $(\rho_{\text{A}} \text{ Countries} \times \rho_{\text{B}} \text{ Countries}))$

• Split them in to several named parts:

$$egin{aligned} R_1 &=
ho_{\mathtt{A}} \ \mathtt{Countries} imes
ho_{\mathtt{B}} \ \mathtt{Countries} \ R_2 &= \sigma_{\mathtt{A}.\mathtt{name}=\mathtt{B}.\mathtt{capital}} R_1 \ \mathtt{Result} &= \pi_{\mathtt{A}.\mathtt{name}} R_2 \end{aligned}$$

Final Remarks

- A basic **SQL** query (performing some projection, selection and cartesian product) is done "altogether" and produces a single result. In relational algebra, each operation results is a *new* relation.
- It is then important to keep track of what information is available after each step (see slide 28!).

Recall the expression (π_{idnr} Students – $\pi_{student}$ Grades) in slide 22: it results in a relation with student's id as single information; to retrieve the rest of the information of students we needed to perform a join!

- The abstract syntax tree of a relational algebra expression can help you understanding the expression.
- Translating **SQL** to relational algebra, simplifying the expression and then translating it back to **SQL** can give a not too compact query!

• Quiz with recap of all course!

(Good if you have a separate device to answer the quiz than that you use to see the questions if you are on zoom.)