## TDA357/DIT621 - Databases

Lecture 6 - Design using Functional Dependencies and normal forms Jonas Duregård

## Another high level design approach

- This week we will look at functional dependencies (FDs) and normal forms
- This is an alternative (and to some degree complementary) approach to ER that we studied last week
- We start in a domain description and end in a database schema
- A single lecture, and Friday exercises as usual



## Normalisation in a nutshell

- Extract a bunch of formal statements from the domain description
- Compute a normalised database schema from the formal statements


## Domain Modelling

## Attributes + <br> Bunch of facts

- Highly systematic, almost mechanical process
- By a carefully constructed normalization algorithm, the normal form the schema ends up in will satisfy some important properties


## Functional Dependencies (FDs)

- A functional dependency is written as <set of attributes> $\rightarrow$ <attribute>
- Example: room time $\rightarrow$ course $\longleftarrow$ Do not confuse with references!
- Pronounced "room and time determines course"
- It is a statement that can be true or false
- A few ways of understanding the meaning of the statement above:
- If we know room and a time, we can uniquely determine course
- There can be at most one course value for each (room,time)-pair
- There exists a partial function $f$ that takes a room and a time and yields a course
- In a domain it might have said something like "courses can book rooms at any free times"


## Three ways we can use functional dependencies

- Check if they hold for a specific data set
- Check if a design ensures they hold for all data sets
- Express desired properties of a design
- I will explain each of these in turn


## Functional dependency as a property of data

- One way of formally defining functional dependency $x_{1} x_{2} \ldots \rightarrow y$ :

For $R\left(\mathrm{y} \mathrm{x}_{1} \mathrm{x}_{2} \ldots\right)$, if two rows agree on $\mathrm{x}_{1}, \mathrm{x}_{2} \ldots$ they must also agree on y

- In other words, there can not exist two rows where the left hand side attributes are the same, but the right hand side attribute differs
- " $\mathrm{X} \rightarrow \mathrm{y}=$ rows that agree on X must agree on y "

Two rows "agreeing on $x$ " just means the $x$-column(s) have the same value

Table: Bookings

- Which FDs hold for this data?

| courseCode | name | day | timeslot | room | seats |
| :--- | :--- | :--- | :--- | :--- | :--- |
| TDA357 | Databases | Tuesday | 0 | GD | 236 |
| TDA357 | Databases | Tuesday | 1 | GD | 236 |
| ERE033 | Reglerteknik | Tuesday | 0 | HB4 | 224 |
| ERE033 | Reglerteknik | Friday | 0 | GD | 236 |

courseCode $\rightarrow$ name?

- Yes! (TDA357 maps to Databases, EREO33 to Reglerteknik)
day $\rightarrow$ timeslot?
- No! (Tuesday maps to both 0 and 1 ) day timeslot room $\rightarrow$ courseCode?

LHS = Left hand side (of arrow)
RHS $=$ Right hand side

- Yes! (There are no rows where all three LHS columns match) seats $\rightarrow$ room?
- Yes! 236 for GD, 224 for HB4,
- This might not be intentional given what we know of the domain...


## FDs as a properties of designs

- Knowing what it means for an FD to hold for a data set, we can determine if a design (schema) guarantees that it holds for all valid data sets
- Example: Does the schema below guarantee that ... code $\rightarrow$ cname?
- Yes! (by primary key constraint in courses) cname $\rightarrow$ code?
- No! (Counterexample: any two courses with the same name) code $\rightarrow$ email
- Yes! (teacher is just another name for email) code $\rightarrow$ tname
- Yes! (by primary key + reference)

Teachers(email, tname)
Courses(code, cname, teacher) teacher -> Teachers.email

Bookings (courseCode, name, day, timeslot, room, seats) (day, timeslot, room) UNIQUE

- Does the schema above guarantee ...
- day timeslot room $\rightarrow$ courseCode
- Yes (through UNIQUE constraint)
- day timeslot room coursCode $\rightarrow$ seats
- Yes (through primary key and/or UNIQUE)
- room $\rightarrow$ seats
- No $)^{\circ}$
- courseCode $\rightarrow$ name
- No ${ }^{\circ}$

Counterexample of room $\rightarrow$ seats and courseCode $\rightarrow$ name

| courseCode | name | day | timeslot | room | seats |
| :--- | :--- | :--- | :--- | :--- | :--- |
| CC1 | N1 | Tuesday | 0 | R1 | 0 |
| CC1 | N2 | Tuesday | 1 | R1 | 1 |

Different timeslot, so no key violation

## FDs as intention for designs

- Since we can verify that an FD holds for a schema, we can also use them to specify desired properties of our schema
- This is what makes FDs a design tool
- A sentence like "every course has a teacher" can be modelled as the FD course $\rightarrow$ teacher (or whatever attributes we use)
- If this FD does not hold for our design, maybe the design is bad?


## Formal properties of FDs

Warning: Things may get slightly mathsy from this point

- FDs have lots of interesting mathematical properties
- I will explain some of the more useful ones:
- Transitivity
- Augmentation
- Reflexivity
- These three are commonly referred to as Armstrongs axioms and they can be formulated in a few different but equivalent ways*
*But the way I formulate them is -of course- the best way


## Side note: Single/Multiple FDs

Notation: I use lowercase $\mathrm{x} / \mathrm{y} / \mathrm{z}$ for single attributes and uppercase $\mathrm{X} / \mathrm{Y} / \mathrm{Z}$ for attribute sets

- It is common to have multiple attributes on the right hand side of FDs $x y z \rightarrow a b c$
- This means exactly the same as these three FDs:
$\mathrm{xyz} \rightarrow \mathrm{a}$
$x y z \rightarrow b$
$x y z \rightarrow c$
- I find it most useful to think of the first as a convenient way of writing multiple FDs, rather than thinking of it as single FD with multiple attributes
- It is not the same with the left hand side! $x y \rightarrow$ a does not mean $x \rightarrow a$ !


## Recall: $\mathrm{X} \rightarrow \mathrm{y}=$ rows that agree on X must agree on y

## Transitivity of functional dependencies

- Functional dependency is a transitive relation
- This means that if $X \rightarrow Y$ and $Y \rightarrow Z$ then $X \rightarrow Z$
- Note that $Y$ is an attribute set here, so $X \rightarrow Y$ may be multiple FDs with the same LHS
- Proof sketch: Look at any rows that agree on $X$. Since $X \rightarrow Y$, they must also agree on $Y$, and since $Y \rightarrow Z$ they must further agree on $Z$. Thus $\mathrm{X} \rightarrow \mathrm{Z}$.


## Recall: $\mathrm{X} \rightarrow \mathrm{y}=$ rows that agree on X must agree on y

## Augmentation

- If $x_{1} x_{2} \ldots \rightarrow y$, then for all $z: \mathrm{zx}_{1} \mathrm{x}_{2} \ldots \rightarrow \mathrm{y}$
- Intuitively: You can add any attributes you want to the LHS of a valid FD and still get a valid FD
- Think: "knowing an extra attribute never prevent us from finding y"
- Proof sketch: Since all rows that agree on the xs must agree on $y$, then particularly all rows that agree on $z$ as well as the xs must do so.


## Recall: $X \rightarrow y=$ rows that agree on $X$ must agree on $y$

## Reflexivity and trivial FDs

- For all $\mathrm{x}: \mathrm{x} \rightarrow \mathrm{x}$ ( $x$ determines itself)
- By augmentation, $X \rightarrow y$ whenever $y \in X$
- Example: a b c $\rightarrow$ b
- We call these depencies trivial
- Rule of thumb: Ignore trivial dependencies
- Proof sketch: Any values that agree on x will agree on x ©


## Example: Deriving functional dependencies

- For any attributes $x, y, z, w$, and $q$ : $x \rightarrow y, z \rightarrow w$, and $y w \rightarrow q$ implies $x z \rightarrow q$
- Proof:
$\mathrm{x} \rightarrow \mathrm{y}$ implies $\mathrm{x} \mathrm{z} \rightarrow \mathrm{y}$ (by augmentation)

$$
\begin{aligned}
& x \rightarrow y \\
& z \rightarrow w \\
& y w \rightarrow q \\
& y z \rightarrow q
\end{aligned}
$$

$z \rightarrow \mathrm{w}$ implies $\mathrm{xz} \rightarrow \mathrm{w}$ (by augmentation)
$\mathrm{xz} \rightarrow \mathrm{yw}$ and $\mathrm{y} \mathrm{w} \rightarrow \mathrm{q}$ implies $\mathrm{xz} \rightarrow \mathrm{q}$ (by transitivity)

- Note that in the third step we merge $\mathrm{x} z \rightarrow \mathrm{y}$ and $\mathrm{x} z \rightarrow \mathrm{w}$ into $\mathrm{xz} \rightarrow \mathrm{y} w$ (See slide on Single/Multiple FDs)


## Minimal basis

- The minimal basis $F$ - of a set of functional dependencies $F$ is a set equivalent to $F$ but with the following conditions:
- $F$ - has no trivial dependenices
- No dependency in $\mathrm{F}^{-}$follow from other dependencies in $\mathrm{F}^{-}$through transitivity or augmentation
- Used for a lot of algorithms and to express a set of FDs in a compact form


## Minimal basis

- Suppose we are given this set of FDs ( 5 total), what is a minimal basis? $\mathrm{a} \rightarrow \mathrm{b}$
$\mathrm{b} \rightarrow \mathrm{c}$
$a d \rightarrow b c d$
- ad $\rightarrow d$ is removed because it is trivial
- $a d \rightarrow b$ is removed because it is implied by $a \rightarrow b$ (augmentation)
- $a d \rightarrow c$ is removed because it is implied by $a \rightarrow b$ and $b \rightarrow c$ (transitivity and augmentation)
- Final set: $a \rightarrow b, b \rightarrow c$


## Transitive closure

- The transitive closure $X^{+}$of a set of attributes $X$, is the set of attributes that can be functionally determined by $X$
- In other words $\mathrm{X}^{+}=\mathrm{All}$ attributes y such that $\mathrm{X} \rightarrow \mathrm{y}$
- Includes ALL derived functional dependencies
- Includes trivial dependencies
- $\mathrm{X}^{+}$is closed in the sense that any FD from attributes in $\mathrm{X}^{+}$lead back to $\mathrm{X}^{+}$
- Can be computed by a simple algorithm from any set of FDs:
- Start with $\mathrm{X}^{+}=\mathrm{X}$ (an under-approximation)
- Repeat until done: For any FD $Y \rightarrow z$ such that $Y \subseteq X^{+}$, add $z$ to $X^{+}$


## Transitive closure, example

- Given these FDs, compute the closure $\{x, z\}^{+}$

$$
\begin{aligned}
& x \rightarrow y \\
& y w \rightarrow q \\
& z \rightarrow w \\
& q \rightarrow x \\
& r \rightarrow s
\end{aligned}
$$

- Initially we know $\{x, z\} \subseteq\{x, z\}^{+}$(from trivial FDs)
- Add $y$ because $x \rightarrow y$ and $\{x\} \subseteq\{x, z\}^{+}$

$$
\begin{aligned}
& \{x, z, y\} \subseteq\{x, z\}^{+} \\
& \{x, z, y, w\} \subseteq\{x, z\}^{+} \\
& \{x, z, y, w, q\} \subseteq\{x, z\}^{+}
\end{aligned}
$$

- Add $w$ because $z \rightarrow w$ and $\{x\} \subseteq\{x, z\}^{+}$
- Add $q$ because $y \mathrm{w} \rightarrow \mathrm{q}$ and $\{y, w\} \subseteq\{x, z\}^{+}$
- No more FDs add attributes, so $\{x, z\}^{+}=\{x, z, y, w, q\}$ is our result
- This proves all these non-trivial FDs:

$$
x z \rightarrow y \quad x z \rightarrow w \quad x z \rightarrow q
$$

## Keys and superkeys

- We can define the property of being a key of a relation using FDs
- Intuitively: A set of attributes is a superkey if it determines all other attributes
- Formally: The attribute set $X$ is a superkey of $R$ if $X^{+}$contains all attributes of $R$
- X is a (minimal) key if removing any attribute from X makes it a non-superkey
- Saying only "key" usually means minimal key
- Each superkey is a superset of at least one minimal key
- Each key is a superkey (but not the other way around)
- Adding any attribute to a superkey makes a new superkey


## Summary so far

- An FD X $\rightarrow$ y means any rows that agree on $X$ also agree on $Y$
- We can extend a set of FDs with additional implied FDs using transitivity, augmentation, and reflexivity
- Conversely, we can reduce a set of FDs to a minimal basis by removing all implied FDs
- The closure $\mathrm{X}^{+}$is the set of all attributes that can be determined by X
- A superkey is a set of attributes that determine all other, keys are minimal superkeys
- To find a key: Start with all attributes (a superkey) and remove attributes until it is a key - finding all keys is more work though


## Normal forms and normalization

## Domain

Modelling

| Attributes + |
| :---: |
| FDs |

## Normal forms and normalization

- Normal form is a very important concept in database design
- Identify all the attributes in the domain and place them in one big relation $D(x, y, z, \ldots)$, collect FDS, then normalize $D$ to get your design
- Normalizing is a recursive procedure, to normalize relation R :
- Check if $R$ is already a normal form, if it is we are done
- Otherwise decompose $R$ into relations $R_{1}$ and $R_{2}$ and normalize both
- Note: A normal form is not the same as a canonical form, there may be multiple normal forms derived from the same initial domain


# BCNF, the Boyce-Codd Normal Form 

Arguably the most well known normal form

## BCNF Normalisation algorithm

To normalize relation R :
This FD is referred to as a BCNF-violation

Find a non-trivial FD $X \rightarrow y$ such that $X^{+} \neq \mathrm{R}(\mathrm{X}$ is not a superkey) If there is no such FD you are done
Otherwise decompose $R$ into $R_{1}\left(X^{+}\right)$and $R_{2}\left(X \cup\left(R-X^{+}\right)\right)$and normalize them

Note: $R$ is replaced by $R_{1}$ and $R_{2}$ (so $R$ is not present in the final schema)

Example \begin{tabular}{l|l}

1. Find violation \& | courseCode $\rightarrow$ name |
| :--- |
| 2. Decompose |
| 3. Repeat |

 

room $\rightarrow$ seats <br>
day timeslot courseCode $\rightarrow$ room <br>
day timeslot room $\rightarrow$ courseCode
\end{tabular}

- Normalise this relation using the FDs above:
$R^{\prime}(c o u r s e C o d e, ~ n a m e, ~ d a y, ~ t i m e s l o t, ~ r o o m, ~ s e a t s) ~$


Decompose on courseCode $\rightarrow$ name courseCode ${ }^{+}=\{$courseCode, name $\}$
$R_{1}$ (courseCode, name) $\mathbb{R}_{2}$ (courseCode, day, timeslot, room, seats)


All of $R_{1}, R_{21}$, and $R_{22}$ are now BCNF!

## Wait, why not split on day timeslot course $\rightarrow$ room?

$\mathrm{R}_{22}$ (courseCode, day, timeslot, room)
day timeslot courseCode $\rightarrow$ room
day timeslot room $\rightarrow$ courseCode

Recall: Find a non-trivial FD $X \rightarrow y$ such that $X^{+} \neq R$ ( $X$ is no superkey)
$\{\text { day, timeslot, courseCode }\}^{+}=\{$day, timeslot, courseCode, room $\}=R_{22}$
$\{\text { day, timeslot, room }\}^{+}=\{$day, timeslot, room, courseCode $\}=R_{22}$
Both \{day, timeslot, courseCode\} and \{day, timeslot, room\} are keys!

## What about keys?

```
courseCode }->\mathrm{ name
room }->\mathrm{ seats
day timeslot courseCode }->\mathrm{ room
day timeslot room }->\mathrm{ courseCode
```

- Keys can be determined using FDs (and closures) after decomposing
- Much of it is already done as part of the algorithm (we found two keys for $\mathrm{R}_{22}$ for instance)
$\mathrm{R}_{1}$ (courseCode, name)
$\mathrm{R}_{21}$ (room, seats)
$\mathrm{R}_{22}$ (courseCode, day, timeslot, room)
(day, timeslot, room) UNIQUE

Multiple keys: Use one as primary key, the other(s) UNIQUE

## What about references?

- In this case it's fairly easy to see that these are sensible references:

```
R1
R21 (room, seats)
R22 (courseCode, day, timeslot, room)
    (day, timeslot, room) UNIQUE
    courseCode -> R R.courseCode
    room -> R R21.room
```

- General pattern: When decomposing $R$, add a reference $X->R_{1}$. $X$ to $R_{2}$
- This will not always work, particularly if $R_{1}$ or $R_{2}$ is later decomposed $\left.:\right)$


## Decomposition of data

Table: Bookings

| courseCode | name | day | timeslot | room | seats |
| :--- | :--- | :--- | :--- | :--- | :--- |
| TDA357 | Databases | Tuesday | 0 | GD | 236 |
| TDA357 | Databases | Tuesday | 1 | GD | 236 |
| ERE033 | Reglerteknik | Tuesday | 0 | HB4 | 224 |
| ERE033 | Reglerteknik | Friday | 0 | GD | 236 |

Table: $\mathbf{R}_{22}$ (Bookings)

| courseCode | day | timeslot | room |
| :--- | :--- | :--- | :--- |
| TDA357 | Tuesday | 0 | GD |
| TDA357 | Tuesday | 1 | GD |
| ERE033 | Tuesday | 0 | HB4 |
| ERE033 | Friday | 0 | GD |

Table $\mathrm{R}_{21}$ (Rooms)

| room | seats |
| :--- | :--- |
| HB4 | 224 |
| GD | 236 |

Table: $\mathbf{R}_{1}$ (Courses)

| courseCode | name |
| :--- | :--- |
| TDA357 | Databases |
| ERE033 | Reglerteknik |

## Lossless join

- Note that if we join along the references, we get the original table

Table: $\mathbf{R}_{1}$ (Courses)

| courseCode | name |
| :--- | :--- |
| TDA357 | Databases |
| ERE033 | Reglerteknik |

Table: $\mathrm{R}_{22}$ (Bookings)

| courseCode | day | timeslot | room |
| :--- | :--- | :--- | :--- |
| TDA357 | Tuesday | 0 | GD |
| TDA357 | Tuesday | 1 | GD |
| ERE033 | Tuesday | 0 | HB4 |
| ERE033 | Friday | 0 | GD |

Table $\mathbf{R}_{\mathbf{2 1}}$ (Rooms)

| room | seats |
| :--- | :--- |
| HB4 | 224 |
| GD | 236 |

Joins ON (courseCode) and ON (room)
Query: $\mathbf{R}_{1}$ NATURAL JOIN $R_{22}$ NATURAL JOIN $\mathbf{R}_{21}$

- Means we did not loose any data in the decomposition

| courseCode | name | day | timeslot | room | seats |
| :--- | :--- | :--- | :--- | :--- | :--- |
| TDA357 | Databases | Tuesday | 0 | GD | 236 |
| TDA357 | Databases | Tuesday | 1 | GD | 236 |
| ERE033 | Reglerteknik | Tuesday | 0 | HB4 | 224 |
| ERE033 | Reglerteknik | Friday | 0 | GD | 236 |

## Finding all FDs

- Consider this simple situation with four attributes $R(x, y, z, w)$ and two functional dependencies: $x \rightarrow z$ and $y z \rightarrow w$
- When normalizing R it may be important to know that there is another FD that can be derived from these: $\mathrm{x} \mathrm{y} \rightarrow \mathrm{w}$
- In principle, you should consider all non-trivial derived FDs but sometimes this a large set and it is easy to miss FDs
- Essentially you have to consider every LHS and compute closures
- There are some clever tricks you can use, but we will not have time for those today


## A flaw of BCNF

- Same example as before: $R(x, y, z, w)$ where $x \rightarrow z$ and $y z \rightarrow w$
- If we decompose on $x \rightarrow z\left(\{x\}^{+}=\{x, z\}\right)$ we get
- $R_{1}(\underline{x}, z)$ $\{x\}$ is the only key Because $\mathrm{x} y \rightarrow \mathrm{w}$
- $R_{2}(\underline{x}, \underline{y}, w) \quad\{x, y\}$ is the only key
- Both of these relations are in BCNF w.r.t. the given FDs
- But now y $\mathrm{z} \rightarrow \mathrm{w}$ is not guaranteed by the schema $:$
- There is a weaker normal form called third normal form (3NF) that does not have this problem, but it has other problems instead...
- There is no "silver bullet" for design work


## Yet another issue with BCNF

- This relation has no non-trivial functional dependencies, so is in BCNF:

Table: Courses

| course | book | author | teacher |
| :--- | :--- | :--- | :--- |
| Databases | DTCB | Ullman | Jonas |
| Databases | DTCB | Ullman | Aarne |
| Reglerteknik | RTB 1 | Author1 | Teacher3 |
| Reglerteknik | RTB 2 | Author2 | Teacher3 |

Deletion anomaly: Deleting all course books also deletes all teachers

Update anomaly: Changing some value can cause inconsistencies

- The domain said something like "each course has a number of teachers and a number of books with one or more authors" (no FDs at all!)
- The data above says Databases has one book and two teachers, and Reglerteknik has two books and one teacher
- Clearly there is redundancy here, and potential for anomalies


## Looks like we need another normal form!

- This one is called the fourth normal form (4NF)
- Since the problematic table had no FDs at all, this form will need some additional source of facts
- We call these facts multivalued dependencies (MVDs)*
- We write $\mathrm{x}_{1} \mathrm{x}_{2} \mathrm{x}_{3} \ldots \rightarrow \mathrm{y}_{1} \mathrm{y}_{2} \mathrm{y}_{3} \ldots$
- Note that both sides are sets of values and we can not split the RHS
*The term multivalued dependency is really quite unfortunate, but it is what it is


## Multivalued dependencies, informally

- For our example, we would say course $\rightarrow$ teacher
- This means that if we fix a course value, the teacher value is independent from all other values (author and book)

Table: Courses

| course | book | author | teacher |
| :--- | :--- | :--- | :--- |
| Databases | DTCB | Ullman | Jonas |
| Databases | DTCB | Ullman | Aarne |
| Reglerteknik | RTB 1 | Author1 | Teacher3 |
| Reglerteknik | RTB 2 | Author2 | Teacher3 |

- This is exactly the same as saying course $\rightarrow$ book author


## Multivalued dependencies, formally

- The claim that $X \rightarrow Y$ holds for relation $R$ means:

For every pair of rows row $t$ and $u$ in $R$ that agree on $X$ we can find a row $v$ s.t:
$v$ agrees with both $t$ and $u$ on $X$
$v$ agrees with $t$ on $Y$
$v$ agrees with $u$ on $R-X-Y$ (all attributes not in the MVD)

- Example: course $\rightarrow$ teacher

Table: Courses
If we remove any row, the MVD won't hold

| Row t | course | book | author | teacher |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Databases | DTCB | Ullman | Jonas | Row v: <br> v.(book,author)=u.(book,author) <br> v.teacher = t.teacher |
|  | Databases | DTCB | Ullman | Aarne |  |
|  | Databases | DTCB | Widom | Jonas |  |
| Row U | Databases | DTCB | Widom | Aarne |  |

## Verifying MVDs on data is hard

- To check if an FD holds: Just group values up by the LHS and check that all rows in each group have the same value for the RHS
- To check if an MVD holds: Check every individual pair of values with identical LHS and search for a row with correct values
- I find a more intuitive way of thinking is this: For $X \rightarrow Y$, every $X$ needs to have every possible combination of $Y$ and other attributes ( $R-X-Y$ )
- Essentially the rows for a given X must be a cartesian product!
- If teacher Jonas occurs with one book/autor, it must occur with all book/author combinations for that course
- This is what makes (book,author) independent from teacher


## Fourth normal form

- For a relation R to be in fourth normal:
- R must be in BCNF
- For all non-trivial MVDs $X \rightarrow Y$ on $R, X$ is a superkey of $R$
- If $X \rightarrow Y$ and $X$ is not a superkey, we say $X \rightarrow Y$ is a 4NF violation
- To normalize: Find a violation $\mathrm{X} \rightarrow \mathrm{Y}$ and break R into
- $R_{1}(X \cup Y)$ ("every attribute in the MVD")
- $R_{2}(R-Y)$
("LHS and every attribute not in the MVD")
- Then normalize both $R_{1}$ and $R_{2}$


## 4NF normalisation

- Normalizing R(course, book, author, teacher) on course $\rightarrow$ teacher



## Normalising the data

Table: Courses

| course | book | author | teacher |
| :--- | :--- | :--- | :--- |
| Databases | DTCB | Ullman | Jonas |
| Databases | DTCB | Ullman | Aarne |
| Databases | DTCB | Widom | Jonas |
| Databases | DTCB | Widom | Aarne |
| Reglerteknik | RTB 1 | AuthorX | TeacherX |
| Reglerteknik | RTB 2 | AuthorX | TeacherX |

## Exercise: Find another MVD here?

Table: $\mathbf{R}_{1}$ (a.k.a. CourseTeacher)

| course | teacher |
| :--- | :--- |
| Databases | Jonas |
| Databases | Aarne |
| Reglerteknik | Teacher3 |

Table: R2 (a.k.a. CourseBooks)

| course | book | author |
| :--- | :--- | :--- |
| Databases | DTCB | Ullman |
| Databases | DTCB | Widom |
| Reglerteknik | RTB 1 | AuthorX |
| Reglerteknik | RTB 2 | AuthorX |

## Lossless join

Note that if we join the two tables using course ...
Table: $\mathbf{R}_{1}$ (a.k.a. CourseTeacher)

| course | teacher |
| :--- | :--- |
| Databases | Jonas |
| Databases | Aarne |
| Reglerteknik | TeacherX |

Table: R2 (a.k.a. CourseBooks)

| course | book | author |
| :--- | :--- | :--- |
| Databases | DTCB | Ullman |
| Databases | DTCB | Widom |
| Reglerteknik | RTB 1 | AuthorX |
| Reglerteknik | RTB 2 | AuthorX |

## NATURAL JOIN

We get the original table back!

| course | book | author | teacher |
| :--- | :--- | :--- | :--- |
| Databases | DTCB | Ullman | Jonas |
| Databases | DTCB | Ullman | Aarne |
| Databases | DTCB | Widom | Jonas |
| Databases | DTCB | Widom | Aarne |
| Reglerteknik | RTB 1 | AuthorX | TeacherX |
| Reglerteknik | RTB 2 | AuthorX | TeacherX |

Sanity check: We did not loose any information

## Functional dependencies vs. ER-design

- FDs can find some things that ER can not find
- ER can find a lot of things that FDs can not find
- Most many-to-many relationships can not be expressed using FDs
- Sentences like "students can register for courses" do not express any FDs (but possibly some MVDs?)
- The two approaches complement eachother, and confirm eachother (or sometimes contradict eachother which may indicate a problem)
- So doing both an ER-design and a FD analysis may be useful
- This is what you will do in Task 2


## Practical use of FDs combined with ER

- FDs can be used to verify the correctness of an ER-design
- Is the result in BCNF w.r.t. the dependencies you have identified?
- Are the primary keys you identified sensible from your FDs?
- If not there may be an error in your ER-translation or your understanding/modelling of the domain
- Sometimes FDs can be used to patch things up in your ER-design, particularly they are useful for finding secondary keys (UNIQUE constraints)
- Every (minimal) key of each relation should be either the primary key or unique


## Finding functional dependencies

- Determine all attributes
- Discover FD's either by looking at each attribute and ask "what do i need to know to determine this?" or by looking at each fact in the domain description and asking "does this express a dependency?"
- You can find multiple FDs determining the same attribute


## Mining attributes (and FDs) from ER-design

- If you already have an ER-design, that may help you determine a useful set of attributes
- Looking at the relational schema is less helpful, because it contains multiple attributes that have different names but are conceptually the same (i.e. because of references)
- You can also extract some FDs by studying the diagram/schema, but that sort of misses the point of finding them since you will never find any FDs that can improve your design
- We want to find FDs that express things our ER-design is missing
- We should look for FDs in the domain description


## Other normal forms

- There is a whole little hierarchy of normal forms Higly simplified:
- 1NF: basically means "only has actual tables"
- 2NF: 1NF + has valid primary key
- 3NF: 2NF + no FDs between attributes not in keys
- BCNF a.k.a. 3½NF: 3NF + attributes depend only on keys
- 4NF: 3NF + No violating MVDs
- 5NF, 6NF, DK/NF ...: Outside the scope of this course

- I expect you to know how to normalize to BCNF and 4NF


## I somehow doubt I will actually reach this slide

- So who cares what I write here?
- If a tree falls in the forest and nobody hears, does it make a sound? If a slide is the $50^{\text {th }}$ slide of a 40 slide lecture, does it even exist?
- Well, there's the course page I guess, but who uses Canvas, am I right?
- Live and learn people: Don't make slides late at night or things are bound to get silly towards the end.

